



104991-154742  
PATENT

1713

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark Plehiers et al.  
Serial No. : 10/520,636 Examiner: To Be Assigned  
Filed : August 5, 2005 Group Art Unit: 1713  
For : PROCESS FOR THE PREPARATION OF  
POLYORGANOSILYLATED CARBOXYLATE MONOMERS OR  
POLYMERS THEREOF

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: P.O. Box 1450, Alexandria, VA 22313-1450

August 4, 2006  
Date of Deposit  
Louis S. Sorell  
Attorney Name  
*Louis S. Sorell*  
Signature

32,439  
PTO Registration No.  
August 4, 2006  
Date of Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith are patents and/or publications for consideration by the PTO in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449. Copies of the International Search Report and International Preliminary Examination Report for PCT/EP03/07360, which is the PCT application corresponding to the above-captioned U.S. patent application, are also enclosed.

Applicants request that the PTO make of record the above-identified documents.

Unless otherwise indicated, a full text copy of each document is attached.

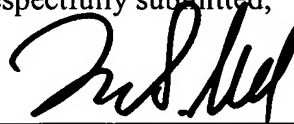
This Information Disclosure Statement is submitted according to the following  
selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application, or before the first Office Action.
- II. ☐ This Statement is being filed under §1.97(c), with fee, prior to either a final action or a notice of allowance. A check for the fee required by §1.17(p) and a duplicate copy of this Statement is enclosed.
- III. ☐ This Statement is being filed under §1.97(c), with a statement under, §1.97(e) prior to either a final action or a notice of allowance. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement under §1.97(e) after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with petition and statement under §1.97(e), after a final action or a notice of allowance but prior to payment of the issue fee. The undersigned hereby petitions that this Statement be considered prior to issuance of the patent. A check for the fee required by §1.17(i) and a duplicate copy of this statement is enclosed. The undersigned hereby states that (check one):
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- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement under §1.97(e) after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

- V. ☐ Copies of the above-cited art were submitted to or cited by the Patent and Trademark Office under parent application Serial No. \_\_\_/\_\_\_ and therefore additional copies of this art are unnecessary.

The Commissioner is hereby authorized to charge payment of any additional fee to Deposit Account No. 02-4377.

Respectfully submitted,



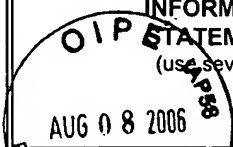
Louis S. Sorell  
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Dated: August 4, 2006

Enclosures

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b> (use several sheets if necessary)  	ATTY DOCKET NO. 104991-154742	APPLICATION NO. 10/520,636
	APPLICANT  Mark Plehiers	
	FILING DATE August 5, 2005	GROUP ART UNIT 1713

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Inventor Name	Class	Sub-class	Filing Date (if appropriate)
	3,346,610-B1	08/10/1967	Omiotanski, George M. et al.			
	4,593,055-B1	06/03/1986	Leiner, Howard H. et al.			
	6,063,887-B1	5/16/2000	Okawa Tadashi			
	6,172,132-C1	01/09/2001	Hikiji, Yasuto et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Document Description

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.